Interconnection Request Application Form for Interconnecting a Certified Inverter-Based Generating Facility No Larger than 20 kW

This Interconnection Request Application Form is considered complete when it provides all applicable and correct information required below. Additional information to evaluate the Interconnection Request may be required.

Processing Fee

A non-refundable processing fee of $200 must accompany this Interconnection Request Application Form.

If the Interconnection Request is submitted solely due to a transfer of ownership of the Generating Facility, the non-refundable fee is $50.

Interconnection Customer

Name:__________________________________________________________

Primary Contact Person:__________________________________________

Title:__________________________________________________________

E-Mail Address:________________________________________________

Mailing Address:________________________________________________

City:____________________ State:________________ Zip:______________

County:________________________________________________________

Telephone (Day):______________ (Evening):_______________________

Fax:________________________

Secondary Contact Name:_______________________________________

Title:__________________________________________________________

E-Mail Address:________________________________________________

Mailing Address:________________________________________________

City:____________________ State:______________ Zip:______________

County:____________________

Telephone (Day):______________ (Evening):_______________________

Fax:________________________
Contact (if different than Interconnection Customer)

Name:__________________________________________________________

E-Mail Address:__________________________________________________

Address:________________________________________________________________

City:_________________________ State:_________________________ Zip:_____________________

County: __________________________________________________________

Telephone (Day):_________________ (Evening):_________________

Fax:________________________________

Owner(s) of the Generating Facility:________________________________________

Generating Facility Information

Facility Location (if different from above):

Address:________________________________________________________________

City:_________________________ State:_________________________ Zip:_____________________

County: __________________________________________________________

Utility: ______________________________________________________________________

Account Number:________________________________________________________________

Is the Generating Facility owned by the Interconnection Customer or Leased from an Electric Generator Lessor in NC?

Owned _____________________

Leased _____________________ NCUC Docket No.: ________________

Inverter Manufacturer: ___________________ Model: __________________

Nameplate Rating (each inverter): _______________ kW (AC) (each inverter)

___________________ kVA (AC) (each inverter)______________________________ Volts (AC) (each inverter)

Single Phase: _____________________ Three Phase: ____________________

System Design Capacity

NC 20 kW Inverter
a:  
____________________kW (AC) (system total) 
____________________kVA (AC) (system total) 

For photovoltaic sources only:

Total panel capacity:  _____ kW (DC) (system total)

Maximum Generating Capacity Requested:\(^b\) ______(calculated)\(^c\) _____kW (AC)

For other sources:

Maximum Generating Capacity Requested:\(^2\)

____________________ kW (AC)

Prime Mover Information (Refer to U.S. EIA Form 860 Instructions, Table 2 Prime Mover Codes and Descriptions at https://www.eia.gov/survey/form/eia860/instructions.pdf)

Prime Mover Code____________________

Prime Mover Description____________________

Energy Source Information (Refer to U.S. EIA Form 860 Instructions, Table 28 Energy Source Codes and Heat Content at https://www.eia.gov/survey/form/eia860/instructions.pdf)

<table>
<thead>
<tr>
<th>Fuel Type</th>
<th>Energy Source Code</th>
<th>Energy Source Description</th>
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</table>

Is the equipment UL 1741 Listed? Yes _____ No _____

If Yes, attach manufacturer’s cut-sheet showing UL 1741 listing

Estimated Installation Date: __________ Estimated In-Service Date: ________________

The 20 kW Inverter Process is available only for inverter-based Generating Facilities no larger than 20 kW that meet the codes, standards, and certification requirements of

\(a\) Total inverter capacity.

\(^b\) At the Point of Interconnection, this is the maximum possible export power that could flow back to the Utility. Unless special circumstances apply, load should not be subtracted from the System Design Capacity.

\(^c\) For a photovoltaic installation, the Utility will calculate this value as the lesser of (1) the total kW inverter capacity and (2) the total kW panel capacity (no DC to AC losses included, for simplicity).
Attachments 3 and 4 of the North Carolina Interconnection Procedures, or the Utility has reviewed the design or tested the proposed Generating Facility and is satisfied that it is safe to operate.

List components of the Generating Facility equipment package that are currently certified:

<table>
<thead>
<tr>
<th>Number</th>
<th>Equipment Type</th>
<th>Certifying Entity</th>
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<tbody>
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**Interconnection Customer Signature**

I hereby certify that, to the best of my knowledge, the information provided in this Interconnection Request Application Form is true. I agree to abide by the Terms and Conditions for Interconnecting a Certified Inverter-Based Generating Facility No Larger than 20 kW and return the Certificate of Completion when the Generating Facility has been installed.

Signed: __________________________________________

Full Name: __________________________________________

Company Name: _______________________________________

Title With Company: ________________________________

E-Mail Address: __________________________________________

Mailing Address: __________________________________________

City: ___________________ State: _______ Zip: ________________

County: _______________________

Telephone (Day): ___________ (Evening): _______________

Fax: _______________________

NC 20 kW Inverter 4
Contingent Approval to Interconnect the Generating Facility (For Utility use only)

Interconnection of the Generating Facility is approved contingent upon the Terms and Conditions for Interconnecting a Certified Inverter-Based Generating Facility No Larger than 20 kW and return of the Certificate of Completion.

Utility Signature:
Title: ___________________________ Date: ________________

Interconnection Request ID number: ________________________________

Utility waives inspection/witness test? Yes _____ No ______
Certificate of Completion for Interconnecting a Certified Inverter-Based Generating Facility No Larger than 20 kW

Is the Generating Facility owner-installed? Yes _____ No _____

Interconnection Customer

Name: ___________________________________________________________
Contact Person: __________________________________________________
E-Mail Address: _________________________________________________
Address: _______________________________________________________
City: ___________________ State: _______ Zip: __________
County: _______________________________________________________
Telephone (Day): ___________ (Evening): _______________________
Fax: _______________________

Location of the Generating Facility (if different from above)
Address: ______________________________________________________
City: ______________________ State: _______ Zip: __________

Electrician

Name: ___________________________________________________________
Company: _______________________________________________________
E-Mail Address: _________________________________________________
Address: _______________________________________________________
City: ______________________ State: _______ Zip: __________
County: _______________________________________________________
Telephone (Day): ___________ (Evening): _______________________
Fax: _______________________
License Number: _______________________

Date Approval to Install Generating Facility granted by the Utility: __________

Oct 29 2021
Interconnection Request ID Number: ________________________________

Inspection:
The Generating Facility has been installed and inspected in compliance with the local building/electrical code of _______________________________________

Signed (Local electrical wiring inspector, or attach signed electrical inspection):

Signature: ______________________________________________________
Print Name: ___________________________ Date: _______________

As a condition of interconnection, you are required to send/ email/ fax a copy of this form along with a copy of the signed electrical permit to (insert Utility information below):

Utility Name: __________________________________________________
Attention: _____________________________________________________
E-Mail Address: ________________________________________________
Address: ______________________________________________________
City: _________________________ State: _______ Zip: ___________
Fax: ________________

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Approval to Energize the Generating Facility (For Utility use only)

Energizing the Generating Facility is approved contingent upon the Terms and Conditions for Interconnecting a Certified Inverter-Based Generating Facility No Larger than 20 kW.

Utility Signature:

Title: ________________________________ Date: ________________
Terms and Conditions
for Interconnecting a Certified Inverter-Based
Generating Facility No Larger than 20 kW

1.0 Construction of the Facility

The Interconnection Customer (Customer) may proceed to construct (including operational testing not to exceed two hours) the Generating Facility when the Utility approves the Interconnection Request and returns it to the Customer.

2.0 Interconnection and Operation

The Customer may interconnect the Generating Facility with the Utility’s System and operate in parallel with the Utility’s System once all of the following have occurred:

2.1 Upon completing construction, the Customer will cause the Generating Facility to be inspected or otherwise certified by the appropriate local electrical wiring inspector with jurisdiction,

2.2 The Customer returns the Certificate of Completion to the Utility, and

2.3 The Utility has either:

2.3.1 Completed its inspection of the Generating Facility to ensure that all equipment has been appropriately installed and that all electrical connections have been made in accordance with applicable codes. All inspections must be conducted by the Utility, at its own expense, within ten Business Days after receipt of the Certificate of Completion and shall take place at a time agreeable to the Parties. The Utility shall provide a written statement that the Generating Facility has passed inspection or shall notify the Customer of what steps it must take to pass inspection as soon as practicable after the inspection takes place; or

2.3.2 If the Utility does not schedule an inspection of the Generating Facility within ten Business Days after receiving the Certificate of Completion, the witness test is deemed waived (unless the Parties agree otherwise); or

2.3.3 The Utility waives the right to inspect the Generating Facility.

2.4 The Utility has the right to disconnect the Generating Facility in the event of improper installation or failure to return the Certificate of Completion.

2.5 Revenue quality metering equipment must be installed and tested in accordance with applicable American National Standards Institute (ANSI) standards and all applicable regulatory requirements.
3.0 **Safe Operations and Maintenance**

The Customer shall be fully responsible to operate, maintain, and repair the Generating Facility as required to ensure that it complies at all times with the interconnection standards to which it has been certified.

The Customer shall not operate the Generating Facility in such a way that the Generating Facility would exceed the Maximum Generating Capacity.

4.0 **Access**

The Utility shall have access to the disconnect switch (if a disconnect switch is required) and metering equipment of the Generating Facility at all times. The Utility shall provide reasonable notice to the Customer, when possible, prior to using its right of access.

5.0 **Disconnection**

The Utility may temporarily disconnect the Generating Facility upon the following conditions:

5.1 For scheduled outages upon reasonable notice.

5.2 For unscheduled outages or emergency conditions.

5.3 If the Generating Facility does not operate in a manner consistent with these Terms and Conditions.

5.4 The Utility shall inform the Customer in advance of any scheduled disconnection, or as soon as is reasonable after an unscheduled disconnection.

6.0 **Indemnification**

The Parties shall at all times indemnify, defend, and save the other Party harmless from, any and all damages, losses, claims, including claims and actions relating to injury to or death of any person or damage to property, demand, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from the other Party’s action or inactions of its obligations hereunder on behalf of the indemnifying Party, except in cases of gross negligence or intentional wrongdoing by the indemnified Party.

7.0 **Insurance**

All insurance policies must be maintained with insurers authorized to do business in North Carolina. The Parties agree to the following insurance requirements:
7.1 If the Customer is a residential customer of the Utility, the required coverage shall be a standard homeowner’s insurance policy with liability coverage in the amount of at least $100,000 per occurrence.

7.2 For an Interconnection Customer that is a non-residential customer of the Utility proposing to interconnect a Generating Facility no larger than 250 kW, the required coverage shall be comprehensive general liability insurance with coverage in the amount of at least $300,000 per occurrence.

7.3 The Customer may provide this insurance via a self-insurance program if it has a self-insurance program established in accordance with commercially acceptable risk management practices.

8.0 Limitation of Liability

Each Party’s liability to the other Party for any loss, cost, claim, injury, or expense, including reasonable attorney’s fees, relating to or arising from any act or omission hereunder, shall be limited to the amount of direct damage actually incurred. In no event shall either Party be liable to the other Party for any indirect, special, incidental, consequential, or punitive damages of any kind.

9.0 Termination

The agreement to interconnect and operate in parallel may be terminated under the following conditions:

9.1 By the Customer

By providing written notice to the Utility and physically and permanently disconnecting the Generating Facility.

9.2 By the Utility

If the Generating Facility fails to operate for any consecutive 12-month period or the Customer fails to remedy a violation of these Terms and Conditions.

9.3 Permanent Disconnection

In the event this Agreement is terminated, the Utility shall have the right to disconnect its facilities or direct the Customer to disconnect its Generating Facility.

9.4 Survival Rights

This Agreement shall continue in effect after termination to the extent necessary to allow or require either Party to fulfill rights or obligations that arose under the Agreement.
10.0 Assignment/Transfer of Ownership of the Facility

10.1 This Agreement shall not survive the transfer of ownership of the Generating Facility to a new owner.

10.2 The new owner must complete and submit a new Interconnection Request agreeing to abide by these Terms and Conditions for interconnection and parallel operations within 20 Business Days of the transfer of ownership. The Utility shall acknowledge receipt and return a signed copy of the Interconnection Request Application Form within ten Business Days.

10.3 The Utility shall not study or inspect the Generating Facility unless the new owner’s Interconnection Request Application Form indicates that a Material Modification has occurred or is proposed.