Section 3. Optional Fast Track Process for Certified Generating Facilities

3.1 Applicability

The Fast Track Process is available to an Interconnection Customer proposing to interconnect its Generating Facility with the Utility’s System if the Generating Facility’s capacity does not exceed the size limits identified in the table below. Generating Facilities below these limits are eligible for Fast Track review. However, Fast Track eligibility is distinct from the Fast Track Process itself, and eligibility does not imply or indicate that a Generating Facility will pass the Fast Track screens in Section 3.2 below or the Supplemental Review screens in Section 3.4 below.

Fast Track eligibility is determined based upon the generator type, the size of the generator, voltage of the line and the location of and the type of line at the Point of Interconnection. Generating Facilities connecting to lines greater or equal to 35 kilovolt (kV) are ineligible for the Fast Track Process regardless of size, unless mutually agreed to in writing between the Interconnection Customer and the Utility. Only certified inverter-based systems are eligible for the Fast Track Process and the size limit varies according to the voltage of the line at the proposed Point of Interconnection. Certified inverter-based Generating Facilities located within 2.5 electrical circuit miles of a substation and on a mainline (as defined in the table below) are eligible for the Fast Track Process under the higher thresholds set forth in the table below. In addition to the size threshold, the Interconnection Customer's proposed Generating Facility must meet the codes, standards, and certification requirements of Attachments 5-A and 5-B of these procedures, or the Utility has to have reviewed the design or tested the proposed Generating Facility and be satisfied that it is safe to operate.
Fast Track Eligibility for Inverter-Based Systems

<table>
<thead>
<tr>
<th>Line Voltage</th>
<th>Fast Track Eligibility Regardless of Location</th>
<th>Fast Track Eligibility on a Mainline(^2) and (\leq 2.5) Electrical Circuit Miles from Substation(^3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; 5 kV</td>
<td>(\leq 100 \text{ kW})</td>
<td>(\leq 500 \text{ kW})</td>
</tr>
<tr>
<td>(\geq 5 \text{ kV and } &lt; 15 \text{ kV})</td>
<td>(\leq 1 \text{ MW})</td>
<td>(\leq 2 \text{ MW})</td>
</tr>
<tr>
<td>(\geq 15 \text{ kV and } &lt; 35 \text{ kV})</td>
<td>(\leq 2 \text{ MW})</td>
<td>(\leq 2 \text{ MW})</td>
</tr>
</tbody>
</table>

\(^1\)Must be an UL certified inverter.

\(^2\)For purposes of this table, a mainline is the three-phase backbone of a circuit. It will typically constitute lines with wire sizes of 4/0 American wire gauge, 336.4 kcmil, 397.5 kcmil, 477 kcmil, and 795 kcmil.

\(^3\)An Interconnection Customer can determine this information about its proposed interconnection location in advance by requesting a Pre-Application Report pursuant to Section 1.3.

3.1.1 The Interconnection Customer may elect in the Interconnection Request Application Form to proceed directly to Supplemental Review, in order to minimize overall processing time in the event the Utility deems Supplemental Review is appropriate. This is accomplished by selecting both the Fast Track and Supplemental Review options on the Interconnection Request Application Form and paying the applicable Fast Track fee and Supplemental Review deposit.

3.2 Initial Review

Within 15 Business Days after the Utility notifies the Interconnection Customer it has received a complete Interconnection Request pursuant to Section 1.5 and the Utility has preliminarily determined that the Interconnection Request is not interdependent with more than one Interconnection Request with lower Queue Numbers under Section 1.9, the Utility shall perform an initial review using the screens set forth below, shall notify the Interconnection Customer of the results, and include with the notification copies of the analysis and data underlying the Utility's determinations under the screens.

3.2.1 Screens

3.2.1.1 The proposed Generating Facility's Point of Interconnection must be on a portion of the Utility's Distribution System.

3.2.1.2 For interconnection of a proposed Generating Facility to a radial distribution circuit, the aggregated generation, including the proposed Generating Facility, on the circuit shall not exceed 15% of the line section annual peak load as most recently measured at the substation. A line section is that portion of a Utility’s System...
connected to a customer bounded by automatic sectionalizing
devices or the end of the distribution line.

### 3.2.1.3
For interconnection of a proposed Generating Facility to a radial
distribution circuit, the aggregated generation, including the
proposed Generating Facility, on the circuit shall not exceed 90%
of the circuit and/or bank minimum load at the substation.

### 3.2.1.4
For interconnection of a proposed Generating Facility to the load
side of spot network protectors, the proposed Generating Facility
must utilize an inverter-based equipment package and, together
with the aggregated other inverter-based generation, shall not
exceed the smaller of 5% of a spot network's maximum load or
50 kW.

### 3.2.1.5
The proposed Generating Facility, in aggregation with other
generation on the distribution circuit, shall not contribute more
than 10% to the distribution circuit's maximum fault current at the
point on the high voltage (primary) level nearest the proposed
point of change of ownership.

### 3.2.1.6
The proposed Generating Facility, in aggregate with other
generation on the distribution circuit, shall not cause any
distribution protective devices and equipment (including, but not
limited to, substation breakers, fuse cutouts, and line reclosers),
or Interconnection Customer equipment on the system to exceed
87.5% of the short circuit interrupting capability; nor shall the
interconnection be approved for a circuit that already exceeds
87.5% of the short circuit interrupting capability.

### 3.2.1.7
Using the table below, determine the type of interconnection to a
primary distribution line. This screen includes a review of the type
of electrical service to be provided to the Interconnection
Customer, including line configuration and the transformer
connection for the purpose of limiting the potential for creating
over-voltages on the Utility's System due to a loss of ground
during the operating time of any anti-islanding function.

<table>
<thead>
<tr>
<th>Primary Distribution Line Type</th>
<th>Type of Interconnection to Primary Distribution Line</th>
<th>Result/Criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>Three-phase, three wire</td>
<td>3-phase or single phase, phase-to-phase</td>
<td>Pass Screen</td>
</tr>
<tr>
<td>Three-phase, four wire</td>
<td>Effectively-grounded three-phase or single phase, line-to-neutral</td>
<td>Pass Screen</td>
</tr>
</tbody>
</table>
3.2.1.8 If the proposed Generating Facility is to be interconnected on a single-phase shared secondary, the aggregate Generating Facility capacity on the shared secondary, including the proposed Generating Facility, shall not exceed 65% of the transformer nameplate rating.

3.2.1.9 If the proposed Generating Facility is single-phase and is to be interconnected on a center tap neutral of a 240 volt service, its addition shall not create an imbalance between the two sides of the 240 volt service of more than 20% of the nameplate rating of the service transformer.

3.2.1.10 The Generating Facility, in aggregate with other generation interconnected to the transmission side of a substation transformer feeding the circuit where the Generating Facility proposes to interconnect shall not exceed 10 MW in an area where there are known, or posted, transient stability limitations to generating units located in the general electrical vicinity (e.g., three or four transmission busses from the point of interconnection).

3.2.2 Screen Results

3.2.2.1 If the proposed interconnection passes the screens and requires no construction by the Utility on its own System, the Interconnection Request shall be approved, and the Utility will provide the Interconnection Customer an executable Interconnection Agreement within ten (10) Business Days after the determination.

3.2.2.2 If the proposed interconnection passes the screens and the Utility is able to determine without further study or review that only minor Utility construction is required to interconnect the Generating Facility to the Utility’s System, the Interconnection Request shall be approved and the Utility will provide the Interconnection Customer a non-binding good faith estimate of the cost of interconnection along with an executable Interconnection Agreement within 15 Business Days after the determination.

3.2.2.3 If the proposed interconnection passes the screens, but the costs of interconnection including System Upgrades and Interconnection Facilities cannot be determined without further study or review, the Utility will notify the Interconnection Customer that the Utility will need to complete a Facilities Study under Section 4.5 to determine the necessary costs of interconnection.
3.2.2.4 If the proposed interconnection fails the screens, but the Utility determines that the Generating Facility may nevertheless be interconnected consistent with safety, reliability, and power quality standards, and requires no construction by the Utility on its own System, the Interconnection Request shall be approved and the Utility shall provide the Interconnection Customer an executable Interconnection Agreement within ten (10) Business Days after the determination.

3.2.2.5 If the proposed interconnection fails the screens, but the Utility determines that the Generating Facility may nevertheless be interconnected consistent with safety, reliability, and power quality standards and the Utility is able to determine without further study or review that only minor Utility construction is required to interconnect with the Generating Facility, the Interconnection Request shall be approved and the Utility will provide the Interconnection Customer a non-binding good faith estimate of the cost of interconnection along with an executable Interconnection Agreement within 15 Business Days after the determination.

3.2.2.6 If the proposed interconnection fails the screens, and the Utility does not or cannot determine from the initial review that the Generating Facility may nevertheless be interconnected consistent with safety, reliability, and power quality standards unless the Interconnection Customer is willing to consider minor modifications or further study, the Utility shall provide the Interconnection Customer with the opportunity to attend a customer options meeting as described in Section 3.3 below.

3.3 Customer Options Meeting

If the Utility determines the Interconnection Request cannot be approved without (1) minor modifications at minimal cost, (2) a supplemental study or other additional studies or actions, or (3) incurring significant cost to address safety, reliability, or power quality problems, the Utility shall notify the Interconnection Customer of that determination within five (5) Business Days after the determination, and upon request provide copies of data and analyses underlying its conclusion. Within ten (10) Business Days of the Utility's determination, the Utility shall offer to convene a customer options meeting to review possible Interconnection Customer facility modifications or the screen analysis and related results, to determine what further steps are needed to permit the Generating Facility to be connected safely and reliably. At the time of notification of the Utility's determination, or at the customer options meeting, the Utility shall:

3.3.1 Offer to perform facility modifications or minor modifications to the Utility's System (e.g., changing meters, fuses, relay settings) and provide a non-
binding good faith estimate of the limited cost to make such modifications to the Utility’s System. The Interconnection Customer shall have ten (10) Business Days to agree to pay for the modifications to the Utility’s electric System or the Interconnection Request shall be deemed to be withdrawn. If the Interconnection Customer agrees to pay for the modifications to the Utility’s electric System, the Utility will provide the Interconnection Customer with an executable Interconnection Agreement within ten (10) Business Days of the Interconnection Customer’s agreement to pay; or

3.3.2 Offer to perform a Supplemental Review under Section 3.4 if the Utility concludes that the Supplemental Review might determine that the Generating Facility could continue to qualify for interconnection pursuant to the Fast Track Process, and provide a non-binding good faith estimate of the costs of such review. The Interconnection Customer shall have ten (10) Business Days to accept in writing the Utility’s offer to perform a Supplemental Review and post any deposit requirement for the Supplemental Review, or the Interconnection Request shall be deemed to be withdrawn; or

3.3.3 Offer to continue evaluating the Interconnection Request under the Section 4 Study Process. The Interconnection Customer shall have ten (10) Business Days to agree in writing to its Interconnection Request continuing to be evaluated under the Section 4 Study Process, and post any deposit requirement for the Study Process, or the Interconnection Request shall be deemed to be withdrawn.

3.4 Supplemental Review

If the Interconnection Customer agrees to a Supplemental Review, the Interconnection Customer shall agree in writing within ten (10) Business Days of the offer, and submit a deposit of $750 (if the facility is larger than 20 kW but not larger than 100 kW) or $1,000 (if the facility is larger than 100 kW but not larger than 2 MW), or the request shall be deemed to be withdrawn. The Interconnection Customer shall be responsible for the Utility’s actual costs for conducting the Supplemental Review. The Interconnection Customer must pay any review costs that exceed the deposit within 20 Business Days of receipt of the invoice or resolution of any dispute. If the deposit exceeds the invoiced costs, the Utility will return such excess within 20 Business Days of the invoice without interest.

3.4.1 Within ten (10) Business Days following receipt of the deposit for a Supplemental Review, the Utility will determine if the Generating Facility can be interconnected safely and reliably.

3.4.1.1 If so, the Utility shall forward an executable Interconnection Agreement to the Interconnection Customer within ten (10) Business Days.
3.4.1.2 If so, and Interconnection Customer facility modifications are required to allow the Generating Facility to be interconnected consistent with safety, reliability, and power quality standards under these procedures, the Utility shall ask if the customer agrees to make the necessary modifications. The customer will be given 10 Business Days to agree, in writing, to the required modifications. The Utility will forward an executable Interconnection Agreement to the Interconnection Customer within 15 Business Days after confirmation that the Interconnection Customer has agreed to make the necessary modifications at the Interconnection Customer’s cost.

3.4.1.3 If so, and minor modifications to the Utility’s System are required to allow the Generating Facility to be interconnected consistent with safety, reliability, and power quality standards under these procedures, the Utility shall forward an executable Interconnection Agreement to the Interconnection Customer within ten (10) Business Days that requires the Interconnection Customer to pay the costs of such System modifications prior to interconnection.

3.4.1.4 If so, but the costs of interconnection including System Upgrades and Interconnection Facilities cannot be determined without further study or review, the Utility will notify the Interconnection Customer that the Utility will need to complete a Facilities Study under Section 4.5 to determine the necessary costs of interconnection.

3.4.1.5 If not, the Interconnection Request will continue to be evaluated under the Section 4 Study Process, provided the Interconnection Customer indicates it wants to proceed and submits the required deposit within 15 Business Days.

Section 4. Study Process

4.1 Applicability

The Study Process shall be used by an Interconnection Customer proposing to interconnect its Generating Facility with the Utility’s System if the Generating Facility exceeds the size limits for the Section 3 Fast Track Process, is not certified, or is certified but did not pass the Fast Track Process or the 20 kW Inverter Process. The Interconnection Customer may be required to submit additional information or documentation, as may be requested by the Utility in writing, during the Study Process.

4.1.1 Applicability of Definitive Interconnection Study Process. For Duke Energy Carolinas, LLC and Duke Energy Progress, LLC, the Commission has